

LETTER OF AUTHORIZATION TO TRANSFER ASSETS

Use to transfer funds/securities from an existing TD Ameritrade brokerage account to another new or existing TD Ameritrade brokerage account. Not intended for withdrawals from an IRA account.

Advisor Code BA4W

Case # _____

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ACCOUNT INFORMATION

Can be in form of transfer (between two non-IRA accounts), direct transfer (between two like titled IRA accounts) or Contribution

FROM account number: _____ *This a test 123456* _____

Account title: _____

TO account number: _____

Account title: _____

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TRANSFER TYPE

Please select **EITHER** Full Account Transfer **OR** Partial Account Transfer

☒ Full Account Transfer: Total transfer of all securities and cash. (No need to list the securities below).

☒ I request to have the delivering account closed after the internal transfer occurs.

☐ Partial Account Transfer:

☐ All Cash

☐ Partial Cash – Specify Amount \$ _____

☐ *By checking this box I acknowledge the above amount is a negative dollar amount. Please note: Internal transfer of a negative balance may require additional signatures in section 4 for authorization. Negative balances cannot be transferred to Retirement Account Types.*

☐ All Securities

☐ Partial Securities (list as described below)

If additional space is needed for partial security movements please utilize the optional page 3 of this form

Symbol/CUSIP	Number of Shares

Symbol/CUSIP	Number of Shares

Symbol/CUSIP	Number of Shares

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IRA FUNDING

If the receiving account is an IRA, please indicate the type of movement:

Contribution: ☐ Current Tax Year ☐ Prior Tax Year

☐ Direct transfer from another IRA

☐ Direct rollover or 60-day Rollover

☐ **COVID-19 Repayment** - Clients have up to 3 years to repay a Coronavirus Related Distribution (CRD) from the day the funds were distributed, if qualified.

Important Information:

- If no tax year is indicated, the contribution will be reported as a current year contribution.
- Per IRS regulations, securities cannot be used to satisfy personal or employer contributions. Contributions to an IRA must be made in cash.

NOTE: IRS Guidance limits to one 60 day IRA to IRA rollover per 12 month period per individual regardless of the number or types of IRA accounts the individual maintains.

