
NEW ACCOUNT INFORMATION



METROPOLITAN WASHINGTON DC

SOL Capital Management Company
111 Rockville Pike, Ste 750
Rockville, MD 20850
301.881.3727 (Main)
301.770.5346 (Fax)

NEW YORK

SOL Capital Management Company
3 Columbus Circle, Suite 2120
New York, NY 10019
212.710.4698 (Main)

SOL CAPITAL MANAGEMENT COMPANY

Documents for Your Records

This section includes copies of important paperwork that you have signed (and SOL has counter-signed, if applicable) to set up your advisory relationship with SOL Capital.

- SOL's Letter of Agreement (LOA)
 - SOL's Fee Addendum (if applicable)
 - SOL's Limited Power of Attorney (LPOA)
 - Investment Policy Statement
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SOL CAPITAL MANAGEMENT COMPANY

Your Investment Plan

Attached is a copy of your signed Investment Policy Statement. Let us remind you how important it is to contact us if your situation changes, especially regarding your liquidity needs and tolerance for risk. Going forward, we will review the IPS with you at least every five years to be certain that it reflects your current financial goals and needs.

As you know, SOL places a premium on preservation of your capital and the pursuit of long-term growth. We are committed to providing a cohesive and disciplined approach to managing your wealth.

Diversification	SOL believes diversification enhances returns over time and offers the greatest protection against market volatility and permanent loss of capital. Each portfolio is broadly diversified by asset class, geographic market, asset size, and investment style.
Quality	We believe our insistence on “quality at the right price” is essential to asset growth. We continuously analyze the markets, looking for undervalued segments.
Discipline	In our opinion, disciplined implementation leads to the best long-term results. We determine the appropriate asset allocation and thoughtfully rebalance the portfolio, being mindful of the macroeconomic and geopolitical backdrop.
Patience	We believe disciplined investing requires a long-term perspective. While our strategies are designed to soften market extremes, we accept and expect periods of volatility.

SOL CAPITAL MANAGEMENT COMPANY

Your Custodian Information

The custodian institution of your account is

Account Name:

Account #:

Account Type:

Mailing Address:

CONTRIBUTIONS

To move securities into your account, please contact your Client Relationship Manager for assistance. For cash transfers, we have included inbound wiring and check deposit instructions.

Wiring Instructions (from US and international banks) for delivery of \$US only

Check Deposits

Please mail checks to

(at the above address) made payable to:

Account Registration/ Name of Account:

Account #:

WITHDRAWALS

To move securities out of your account, please contact your Client Relationship Manager for assistance.

SOL CAPITAL MANAGEMENT COMPANY

CALL US AT 301.881.3727



www.sol-capital.com

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